I hereby certify that this correspondence is being electronically transmitted to the United States Patent and Trademark Office via EFS-Web on

Attorney Docket No.: 021911-000400US
Client Ref. No.: OBM42

HELMS, Larry R.

Technology Center/Art Unit: 1642 REQUEST UNDER C.F.R § 3.81(b)

TOWNSEND and TOWNSEND and CREW LLP

By Camela Sketter

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

In re application of:

Alan Kingsman, et al.

Patent No.: 7,074,909

Issued: July 11, 2006

Appl'n No.: 10/016,686

For: ANTIBODIES

Office of Petitions

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

On behalf of the Applicants of the above identified application and the Assignee of record as previously recorded on December 15, 2003, the following is a Request under 37 C.F.R. § 3.81(b) for correction of the above identified patent to state the name of the assignee.

In support of this Request, the processing fee under 37 C.F.R. § 1.17(i) of \$130.00 is enclosed.

Also in support of this Request, and as shown by the attached copies, the assignment to Oxford BioMedica (UK) Limited was submitted for recordation on December 15, 2003, prior to issuance of the above identified patent on July 11, 2006. Inadvertently, the Recordation Form Cover Sheet (copy attached) filed December 15, 2003 incorrectly identified the assignee as Oxford BioMedica PLC. This error was propagated in item 3 of PTOL-85B, which thus necessitates the instant Request.

The same assignment to Oxford BioMedica (UK) Limited has been re-recorded with a corrected Recordation Form Cover Sheet on October 4, 2006 at Reel 018346, frame 0408.

Alan Kingsman, et al. Patent No.: 7,074,909 Page 2

Further in support of this Request, a Request for Certificate of Correction under 37 C.F.R. § 1.323 is attached to correct the assignee name on the face of the patent and to correct other minor typographical and clerical errors in the published patent.

The required fee set forth in 37 C.F.R. § 1.20(a) of \$100.00 is also enclosed.

No new matter would be introduced by the Request for Certificate of Correction if granted.

In light of the foregoing, Applicants respectfully submit that the requirements of 37 C.F.R. § 3.81(b) have been complied with, and urge that this Request be granted.

Respectfully submitted,

Kawai Lau Reg. No. 44,461

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel. (415) 576-0200 Fax (415) 576-0300 6088374 vi



## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Weshington, D.C. 20231

DECEMBER 16, 2003

PTAS
TOWNSEND AND TOWNSEND AND CREW LLP
KAWAI LAU
TWO EMBARCADERO CENTER, 8TH FLOOR
SAN FRANCISCO, CALIFORNIA 94111-3834

\*700056907A\*

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/15/2003

REEL/FRAME: 014195/0528 NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KINGSMAN, ALAN

DOC DATE: 11/12/2003

ASSIGNOR:

KINGSMAN, SUSAN MARY

DOC DATE: 11/12/2003

ASSIGNOR:

BEBBINGTON, CHRISTOPHER R.

DOC DATE: 11/23/2003

ASSIGNOR:

CARROL, MILES W.

DOC DATE: 11/14/2003

ASSIGNOR:

ELLARD, FIONA M.

DOC DATE: 11/12/2003

ASSIGNOR:

MYERS, KEVIN A.

DOC DATE: 11/12/2003

014195/0528 PAGE 2

ASSIGNEE:

OXFORD BIOMEDICA PLC
MEDAWAR CENTRE, ROBERT ROBINSON
AVENUE
THE OXFORD SCIENCE PARK
OXFORD, UNITED KINGDOM OX4 4GA

SERIAL NUMBER: 10016686

PATENT NUMBER:

FILING DATE: 11/02/2001 ISSUE DATE:

LAZENA MARTIN, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

RightFAX

Ø 002/007

12/15/2003 700056907

Attorney Docket No. 021911-000400US

Form PTO-1595 (Rev. 10-02) OMB No. 0651-0027 (exp. 5/31/2002)	Recordation For		U.S. Department of Commerce U.S. Patent and Trademark Office	
Tab settings ⇔⇔♥ ▼	∀	▼ ▼	▼	▼
	of Patents and Trademarks.	Please record the attached origin	al documents or cop	y thereof
Name of conveying party(lee):		2. Name and address of rec	xelving party(les)	
Alan Kingsman		Name: Oxford BioMed	ica PLC	
Additional name(e) of conveying perty(ies) atta	sched? 🛮 Yes 🔲 No.	Internal Address:		
3. Nature of conveyance;		Streat Address: Medawa	er Centre Poher	t Pohingon
Assignment     ■	☐ Merger	Avenue, The Oxford S		1 ROOMSON
Security Agreement	☐ Change of Name	City: Oxford	State: UK	ZIP: OX44GA
☐ Other:				
	Additional name(s) and addr	ess(es) attached?	'∐ Yes ⊠ No	
Execution Date: 1) 11/12/03 2) 11/12/ 11/14/03 5) 11/12/03 6) 11/12/03	•			
4. Application number(s) or patent number	er(s):			
If this document is being filed together with a new application, the execution date of the application is:				
A. Patent Application No(s); 10/016.686		B. Patent No(s):		
	,	.,		
Additional numbers attached? ☐ Yes ☑ No				
Name and address of party to whom correspondence concerning document should be mailed:     Name: Kawai Lau     TOWNSEND AND TOWNSEND AND CREW LLP     Two Embarcadero Center, Eighth Floor		6. Total number of application	na and patents in	volved 1
		7. Total fee (37 CFR 3.41):S40.00		
		☐ Enclosed		
San Francisco, California 941 (415) 576-0200	111-3834	☑ Authorized to be	charged to depos	it account
		8. Deposit account number:	20-1430	
		(Attach duplicate copy of this pag	ge if paying by depos	sit account)
DO NOT USE THIS SPACE				
9. Statement and signature.	201101 002	THE OF AGE		
To the best of my knowledge and beli is a true copy of the original documen	ief, the foregoing information.	on is true and correct and any	attached copy	
Kawai Lau		id	Doggmbos 45	2002
Name of Person Signing Atty. Reg. No. 44,481	SI	gnature	December 15, Date	
Total	number of pages including cov	or sheet ettechments and docum	nente: 6	

Ø1003/007

Form PTO-1595 Recordation Form Cover Sheet Patents Only Page 2

 Additional name(e) of conveying party(les): (Continued from Page 1)

Susan Mary Kingsman Christopher R. Bebbington Miles W. Carrol Fiona M. Ellard Kevin A. Myers

- Additional name(s) and address(es) of receiving party(ies): (Continued from Page 1)
- Additional application number(s) or patent number(s): (Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

601 00 179 +1

Attorney Docket No.: 021911-000400US Client Reference No.: OBM42

## ASSIGNMENT OF PATENT APPLICATION

IOINT

WHEREAS, Alan Kingsman, c/o Oxford BioMedica (UK) Ltd. Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; Susan Mary Kingsman, c/o Oxford BioMedica (UK) Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; Christopher R. Bebbington of 132 Avila Road, San Mateo, California 94402, Miles W. Carroll, c/o Oxford BioMedica Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; Flona M. Ellard, c/o Oxford BioMedica (UK) Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; Kevin A. Myers, c/o Oxford BioMedica (UK) Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent.

Title of Invention: ANTIRODIES

Date(s) of execution of Declaration:

Filing Date: November 2, 2001

Application No.: 10/016,686; and

WHEREAS, Oxford BioMedica (UK) Limited, a corporation of United Kingdom, located at Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignoe all, Fight, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignore and Assignore's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, resissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigne

Assignment Attorney Docket No.: 021911-000400US Page 2

60070296 v1

	/
IN TESTIMONY WHEREOF, Ass	ignors have signed their names on the dates indicated
Dated: 12 November 2003	1911
Dated: 12 November 2003	Susan adar Lingman
Dated:	
Dated: 14th Nov 2003	Christopher R. Bebbington  Miles W. Carroll
Dated: 12th Normha 2003.	Jh Marl. Figna M. Ellard
Dated: 12th November 2007	Kevin Af Myers

Assignment Attorney Docket No.: 021911-000400US Page 2

IN TESTIMONY WHE	REOF, Assignors have signed their names on the dates indicated.
Dated:	
	Alan Kingsman
Dated:	
	Susan Mary Kingsman
Dated:	C.R. Bellit.
	Christopher R. Hebbington
Dated:	
	Miles W. Carroll
Dated:	
	Fiona M. Ellard
Dated:	
	Kevin A. Myers

60070296 v1